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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/750,336	10/31/2003	Morrie Gasser	EMC03-34(03149)

SEP 02 2004
 PATENT & TRADEMARK OFFICE

022468
 CHAPIN & HUANG L.L.C.
 WESTBOROUGH OFFICE PARK
 1700 WEST PARK DRIVE, SUITE 120
 WESTBOROUGH, MA 01581

COPY

CONFIRMATION NO. 4698

FORMALITIES LETTER



OC000000012518156

Date Mailed: 05/04/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/03/2004 HALI11 00000053 10750336

FILED UNDER 37 CFR 1.53(b)

01 FC:1001	770.00 OP
02 FC:1051	130.00 OP
03 FC:1202	162.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$162** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1062** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$162**

■ \$162 for 9 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Morrie Gasser and Jeffery Alexander
Serial No.: 10/750,336
For: METHODS AND APPARATUS FOR APPLICATION OF MANAGEMENT ACTIONS TO RESOURCES SHARING RELATIONSHIPS
Filing Date: December 31, 2003
Examiner: Unassigned
Art Unit: 2122
Conf. No.: 4698

Certificate of Mailing Under 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **MAIL STOP MISSING PARTS**, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on:

Date: August 31, 2004

By: Gail L. Hardy
(Typed or printed name of person mailing Document, whose signature appears below)

Signature: Gail L. Hardy

MAIL STOP MISSING PARTS
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed herewith are the following:

- 2 -

- [x] Transmittal Letter (this form, 3 Pages, in duplicate), Total Pages: 6;
- [x] Copy of Notice to File Missing Parts of Application; Total Pages: 2;
- [x] Response to Notice to File Missing Parts of Application; Total Pages: 2;
- [x] Petition for TWO MONTH EXTENSION of Time, Total Pages: 2;
- [x] Executed Declaration and Power of Attorney, Total Pages: 9;
- [x] Assignment Recordation Cover Sheet, Total Pages: 1;
- [x] Copy of Executed Assignment, Total Pages: 15;
- [x] Return Receipt Pre-paid Postcard (in duplicate), Total Postcards: 2;
- [x] Authorization to charge Deposit Account No. 50-0901, if required;
- [x] Check in the amount of \$1,482.00 which includes the following:

CLAIMS	Number Filed	Number Extra	Rate	Calculations
Total Claims	29-20 =	9	x \$18	\$162.00
Independent Claims	3-3 =	0	x \$86	\$0.00
Late Surcharge				\$130.00
Two Month Extension				\$420.00
		BASIC FILING FEE =		\$770.00
		TOTAL FEE FOR THIS PATENT APPLICATION =		\$1,482.00

If the enclosed fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 50-0901.

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If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 366-9600, in Westborough, Massachusetts.

Respectfully submitted,

CHAPIN & HUANG, L.L.C.,


Barry W. Chapin, Esq.
Attorney for Applicants
U.S.P.T.O. Registration No. 39,934
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Telephone: 508-366-9600
Facsimile: 508-616-9805

Attorney Docket No.: EMC03-34(03149)

Dated: August 31, 2004

ATTORNEY DOCKET NO.: EMC03-34(03149)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



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Signature: Gail L. Hardy

MAIL STOP MISSING PARTS
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts dated May 4, 2004, in connection with the above-identified Application, Applicants enclose an executed Declaration/Power

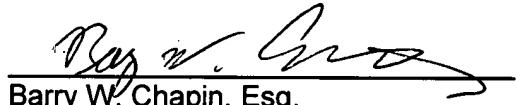
- 2 -

of Attorney, Copy of executed Assignment, Filing Fees including Two Month Extension Fee, and a surcharge under 37 C.F.R. 1.136(a).

A general authorization is hereby granted to charge deposit account number 50-0901 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this patent application or for any additional fees that may be due in this matter at this time.

Respectfully submitted,

CHAPIN & HUANG, L.L.C.,


Barry W. Chapin, Esq.
Attorney for Applicants
U.S.P.T.O. Registration No. 39,934
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Facsimile: 508-616-9805

Attorney Docket No.: EMC03-34(03149)

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